

## Faculty Slides

### 42 CFR§482.51 Condition of Participation: Surgical Services

#### §482.51 Condition of Participation:

Along with document review and interviews, expect the surgical services survey of the perioperative area to include surveyors:

- In the operating room observing processes in cases
- In central sterile and sub-sterile areas observing cleaning, disinfection and sterilization processes
- Watching the set up and break down of cases including cleaning
- In the preoperative and post-anesthesia care unit observing processes

#### §482.51 (b) Standard: Delivery of Service

“Surgical services must be consistent with needs and resources. Policies governing surgical care must be designed to assure achievement and maintenance of high standards of medical practice and patient care.”

– A-0951

- Aseptic technique
  - Sterile technique
  - Scrub technique
  - Identification of infected cases
  - Housekeeping processes
  - Safety practices
  - OR personnel policies
  - Surgical count
  - Surgical specimens
  - Malignant hyperthermia
  - DNR status
  - Patient care requirements
  - Preop, intraop, postop
  - Patient consent
  - Patient identification
  - Sterilization/disinfection
  - Infection control/OSHA
  - Scheduling
  - Prep solutions
  - Fire safety/drills
  - Traffic control/restricted area
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- Policy and procedures are in accordance with nationally recognized standards of practice.
  - Policy should mirror practice.
  - Policies should be designed to assure the achievement and maintenance of high standards of medical practice and patient care.
  - Policies are implemented and enforced.

## §482.51: Survey Methods

- Inpatients and outpatients receiving services
- Observation in all care areas
- Interviews with staff and managers
- Use of CMS Patient Safety Initiative (PSI) worksheets
- All are tied back to policies and procedures

## §482.51: Survey Methods – Observation

- OR Attire
  - Hair covering
  - Scrubs
  - Long sleeve jacket
  - Shoes and shoe covers
  - Masks

Traffic patterns

Unrestricted, Semi-Restricted, Restricted

- Surveyors will observe:
  - Patient processes from point of entry to point of discharge
  - Instruments from opening in the room to decontamination, sterilization, and storage
  - Personnel, visitor, vendor flow through unrestricted, semi-restricted, to restricted.
  - Clean and Dirty processes
  - Cleaning – case turnover, terminal clean, and cyclical
- Initial Cleaning at the Point of Care
  - Breaking down bio-burden
  - Enzymatic spray — Enough to cover and wet
  - PPE
  - Manufacturer’s instructions
  - Timely cleaning
  - Who does this?
  - Training
  - Monitoring

## §482.51: Survey Methods – Observation (*continued*)

### Transport After Use

- Covered
  - Case carts
  - Basins and caskets
  - Leak proof
- Timely
  - Decentralized systems
- Labeled
- PPE
  
- Cleaning between cases
  - Appropriate use of cleaner
  - Methodical process
  - Trained staff
  
  - Security of room and medications
- Instrument Decontamination
  - HVAC, humidity, temperature
  - PPE – placement and usage
  - Traffic control
  - Supplies
  - Instructions for Use
  - Equipment
  - Instruments
  - Workflow

### Decontamination

- Cleaning Process
  - Demonstrate
  - Concentration of enzymatic solution
  - Labeling of sinks
  - Standard work with low/no variation
    - Job aids
  - Manufacturer’s instructions
  - Training and Competency

## §482.51: Survey Methods – Observation (*continued*)

### Washers

- Ultrasonic
  - Daily routine maintenance
  - Manufacturer’s guidelines
- Washer/Decontaminators
  - Validation processes
  - Manufacturer’s guidelines

### Sterile Processing

- Access
- Attire and PPE
- Workflow, workstations
- Use of Magnifiers/examination
- Temperature, Humidity
- Clean/not sterile v. Sterile Cooling
- Emergency Management and Fire Plans

### Prep and Pack

- Inventory
- Tips
- Stringing
- Open vs closed
- Peel packaging
- Folds and taping
- Implants
- Indicators
  - Spacing
  - Internal and external

### Sterilizers

- Load management
  - Inventory
  - Biological indicators
  - Bowie Dick
  - Printouts
  - Competency
  - Knowledge and ability to discuss
- Maintenance of Sterilizers
- Storage in area

## 482.51: Survey Methods - Observation (*continued*)

### **Sterile Processing**

- Storage of Sterile Trays
- Segregation of Sterile and Unsterile
  - Visual cues
  - Workflow, Traffic, and Access Control
- Temperature and Humidity
- Airflow
- Alternate storage sites
  - OB
  - ER
  - Procedural areas
  - Hallways
  
- Immediate Use Sterilization (IUS)
- Vendor Trays
- Emergent and Add On Cases
- Reprocessing of single use items
  
- Sterile fields opening and maintenance
- Count
- Time out
- Traffic
  
- Storage in Room
- Equipment
- Medications
- Sterile technique

### **End of case:**

- Closing count
- Emergence
- Safe movement of patient
- Hand off
- Breakdown of room